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| <h1>CWC SCHEDULE 3 CHEMICAL<br/>DECLARATION OR REPORT</h1> | PLANT SITE NAME:  |
|  | U.S. PLANT SITE OR TRADING COMPANY CODE<br>(once assigned): |

## FORM 3-3 DECLARATION OR REPORT ON SCHEDULE 3 CHEMICAL

**Submit this form to provide information on each Schedule 3 chemical. Use this form for all types of declarations and reports (past activities, anticipated or additionally planned activities, exports and imports, combined, and amendments).**

|                   |                |                         |
|-------------------|----------------|-------------------------|
| CAS REGISTRY NO.: | CHEMICAL NAME: | COMMON OR TRADE NAME(S) |
|-------------------|----------------|-------------------------|

|              |  |  |
|--------------|--|--|
| <b>3-3.1</b> | <b>Complete this question only for the Annual Declaration on Past Activities and Combined Declaration and Report</b>   |  |
|              | a. Quantity <b>produced</b> in metric tons in the previous calendar year:<br><input type="checkbox"/> 30 to 200 <input type="checkbox"/> above 200 to 1,000<br><input type="checkbox"/> above 1,000 to 10,000 <input type="checkbox"/> above 10,000 to 100,000<br><input type="checkbox"/> above 100,000 | b. Purposes(s) of <b>production</b> (check box(es)):<br><input type="checkbox"/> In-line consumption as produced (captive use)<br><input type="checkbox"/> Synthetic intermediate stored and/or used on site<br><input type="checkbox"/> Transfer to other company or industry |

|              |   |   |
|--------------|---|---|
| <b>3-3.2</b> | <b>Complete this question only for the Annual Declaration on Anticipated Activities and the Declaration on Additionally Planned Activities</b>  |   |
|              | a. Anticipated <b>production</b> quantity in metric tons for the next calendar year:<br><input type="checkbox"/> 30 to 200 <input type="checkbox"/> above 200 to 1,000<br><input type="checkbox"/> above 1,000 to 10,000 <input type="checkbox"/> above 10,000 to 100,000<br><input type="checkbox"/> above 100,000 | b. Anticipated Purposes(s) of <b>production</b> (check box(es)):<br><input type="checkbox"/> In-line consumption as produced (captive use):<br><input type="checkbox"/> Synthetic intermediate stored and/or used on site<br><input type="checkbox"/> Transfer to other company or industry |

**If the plant site or trading company exported and/or imported this Schedule 3 chemical, provide the following information for each recipient or source destination**

| Export         |  | Import         |  |
|----------------|--|----------------|--|
| <b>3-3.3.1</b> | a. Quantity exported: <span style="float: right;">metric tons</span> | <b>3-3.4.1</b> | a. Quantity imported: <span style="float: right;">metric tons</span> |
|                | b. Recipient Destination (see Supplement No. 3):                     |                | b. Source Destination (see Supplement No. 3):                        |
| <b>3-3.3.2</b> | a. Quantity exported: <span style="float: right;">metric tons</span> | <b>3-3.4.2</b> | a. Quantity imported: <span style="float: right;">metric tons</span> |
|                | b. Recipient Destination (see Supplement No. 3):                     |                | b. Source Destination (see Supplement No. 3):                        |
| <b>3-3.3.3</b> | a. Quantity exported: <span style="float: right;">metric tons</span> | <b>3-3.4.3</b> | a. Quantity imported: <span style="float: right;">metric tons</span> |
|                | b. Recipient Destination (see Supplement No. 3):                     |                | b. Source Destination (see Supplement No. 3):                        |

If additional pages of Form 3-3 for exports and imports of this Schedule 3 chemical are attached, indicate the total number of pages as follows: page \_\_\_\_ of \_\_\_\_ (e.g., page x of y)

## FORM 3-3 Declaration or Report of Schedule 3 Chemical

Declaration and reporting requirements are set forth in Part 714 of the CWC Regulations (15 CFR 714).

You must submit a separate Form 3-3 for each Schedule 3 chemical and for all types of declaration and report (past activities, anticipated or additionally planned activities, exports and imports, combined declaration and report, and amended). NOTE: If you are submitting an ANNUAL DECLARATION ON ANTICIPATED OR ADDITIONALLY PLANNED ACTIVITIES, only complete question 3-3.2.

**Declared plant site:** If one or more plants on your plant site produced or is anticipated to produce more than 30 metric tons of a Schedule 3 chemical, your plant site is a declared plant site and you must complete questions 3-3.1 and 3-3.2. You may also complete questions 3-3.3 and/or 3-3.4 if you exported from or imported to your plant site more than 30 metric tons of the Schedule 3 chemical. (Note that the export or import 30 metric ton threshold is a plant site aggregate. The plant site must report exports and imports from or to all plants at the plant site exceeding 30 metric tons.)

NOTE: A plant site that is declared because of the production of one Schedule 3 chemical may also have reporting requirements for another Schedule 3 chemical that it did not produce, or produced under threshold, but that it exported or imported in quantities over 30 metric tons. In such a case, a COMBINED ANNUAL DECLARATION AND REPORT may be submitted and the plant site must submit a separate Form 3-3, but complete only questions 3-3.3 and/or 3-3.4 for that other Schedule 3 chemical.

**Undeclared plant site:** If no plant on your plant site produced more than 30 metric tons of any Schedule 3 chemical, but your plant site exported or imported more than 30 metric tons of a Schedule 3 chemical, you must submit Form 3-3, but only complete questions 3-3.3 and/or 3-3.4. (Remember that the export or import amount is an aggregate of exports from or imports to all plants on your plant site.)

**Trading company:** A trading company that exported or imported over 30 metric tons of a Schedule 3 chemical must submit Form 3-3, but only complete questions 3-3.3 and/or 3-3.4.

Enter in the upper right-hand corner of this form the name of the plant site or trading company or the U.S. Plant Site or Trading Company Code (once assigned) that was listed on the corresponding Form 3-1 (Schedule 3 Plant Site or Trading Company Identification). Submitters should use this code on all declarations for a particular plant site.

For each Schedule 3 chemical being declared, provide the CAS Registry Number, if assigned, Chemical Name, and Common or Trade Name.

**Question 3-3.1:** For the ANNUAL DECLARATION ON PAST ACTIVITIES, you must provide the production quantity range for each Schedule 3 chemical produced at the plant site in the previous calendar year and specify the purposes of production of the chemical by checking all of the appropriate categories. To calculate the

production range of a Schedule 3 chemical on your plant site, take the following steps:

1) Add the production amounts from all plants that individually produced more than 30 metric tons of the Schedule 3 chemical declared on this form. (Note: Do not count the production of this chemical from plants that individually did not exceed the production threshold of 30 metric tons.)

2) Determine the production range in question 3-3.1 and check the appropriate box to identify the range of production for the Schedule 3 chemical.

**Question 3-3.2:** For the ANNUAL DECLARATION ON ANTICIPATED ACTIVITIES, you must provide the production quantity range for each Schedule 3 chemical you anticipate will be produced at the plant site in the next calendar year and specify the anticipated purposes of production of the chemical by checking all of the appropriate categories. To calculate the production range for your plant site refer to the steps listed in Question 3-3.1, above.

After THE ANNUAL DECLARATION ON ANTICIPATED ACTIVITIES has been submitted, you must submit a DECLARATION ON ADDITIONALLY PLANNED ACTIVITIES if you plan that: a previously undeclared plant on your plant site will produce a Schedule 3 chemical above 30 metric tons; a declared plant will produce an additional Schedule 3 chemical above 30 metric tons; an increase to the production range of a Schedule 3 chemical by declared plants on your plant site will exceed the applicable inspection threshold; or an increase in the aggregate production of a Schedule 3 chemical at a declared plant site to an amount above the upper limit of the range previously declared.

**Questions 3-3.3 and 3-3.4:** For the ANNUAL REPORT OF EXPORTS AND IMPORTS or COMBINED ANNUAL DECLARATION AND REPORT (previous calendar year), complete questions 3-3.3 and/or 3-3.4, as appropriate.

To calculate the export and import amounts for your plant site or trading company and the source and recipient destinations, take the following steps:

1) Add all exports from your plant site or trading company. Plant sites must total exports from all plants on the plant site to obtain the plant site export aggregate. Add all imports to your plant site or trading company. Plant sites must total all imports to all plants on the plant site to obtain the plant site import aggregate.

2) Determine the total exports to each recipient destination. Determine the total imports from each source destination. (Use Supplement No. 3 identify the Destination Code.)

3) Indicate in questions 3-3.3 and/or 3-3.4, as appropriate, the number of metric tons of the Schedule 3 chemical exported to each recipient destination or imported from each source destination.

If there is insufficient space to record all of your export or import trade transactions, use additional copies of Form 3-3. Indicate the page number of each additional page and total number of pages of Form 3-3 for this chemical.